

Diagnosis of Discrete-Event Systems

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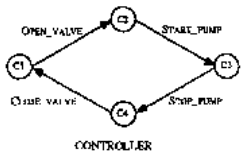
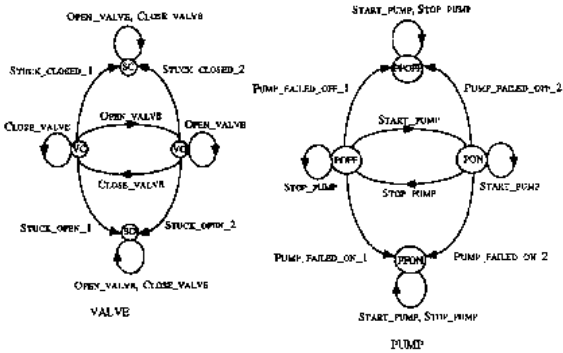


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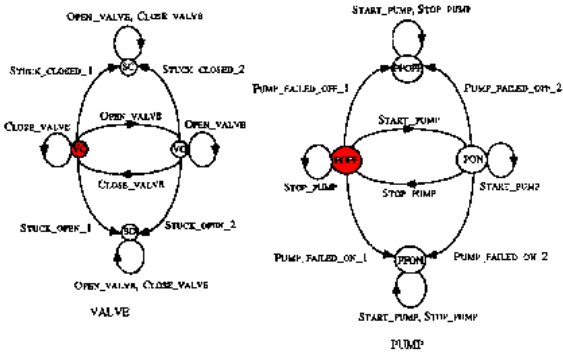
Systems that are

- 1 dynamic (the state of the system changes over time)
- 2 modeled at a discrete level (no continuous variable)

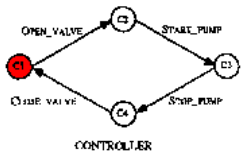
Example: HVAC



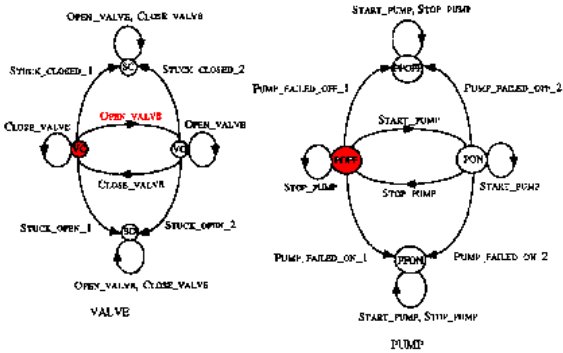
Example: HVAC



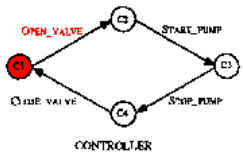
State of the system



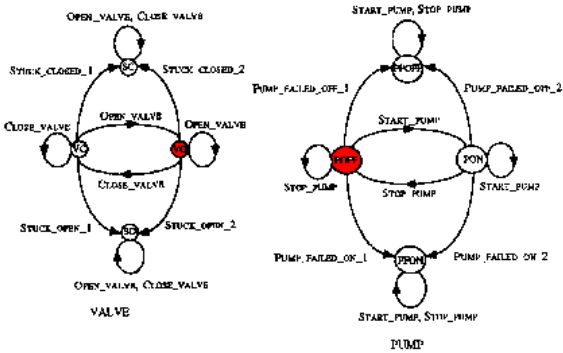
Example: HVAC



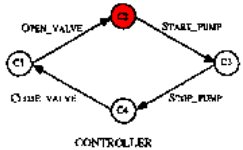
Occurrence
of event
Open valve



Example: HVAC



New state



Let Σ be the set of events:

- a behaviour is a word on Σ (sequence of events, called trajectory): $\beta \in \Sigma^*$
- the model is a language: $\mathcal{L}_M \subseteq \Sigma^*$

Example:

- $\Sigma = \{a, b, c\}$
- $\beta = aaca$
- $\mathcal{L}_M = \{a, aa, ab, aac, abc, acc, aaca, abca, abcc \dots\}$

Some events $\Sigma_o \subseteq \Sigma$ of events are observable
(sensors, commands, alarms, etc.)

When an observable event occurs, it is recorded.

The observation of a trajectory is the projection of the trajectory over the observable events (eliminate the unobservable events of the trajectory)

$$obs(traj) \in \Sigma_o^*$$

A subset of unobservable events $\Sigma_f \subseteq (\Sigma \setminus \Sigma_o)$ are called faulty

The “faulty state” of a trajectory is the set of faulty events that occur on the trajectory:

$$\delta(traj) \subseteq \Sigma_f$$

System execution $traj^*$ (unknown)

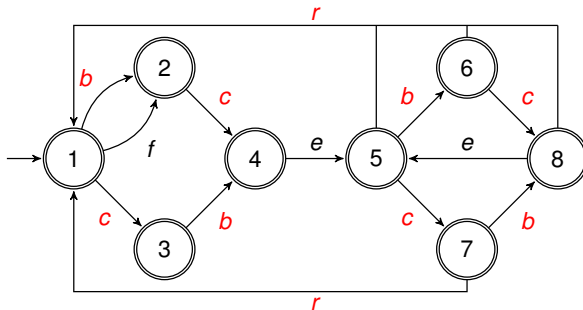
Diagnosis problem:

$$P = \langle \mathcal{L}_M, \Sigma_o, obs(traj^*), \Sigma_f \rangle$$

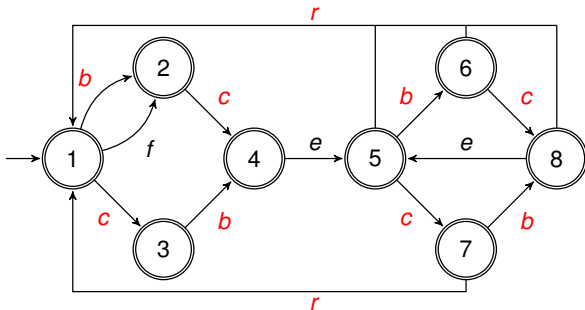
Diagnosis of DES: $\delta(traj^*)$ (impossible to find, in general)

Assuming the model is complete ($traj \in \mathcal{L}_M$),
then $\delta(traj^*) \in \Delta(P)$ where

$$\Delta(P) = \{F \subseteq \Sigma_f \mid \exists traj \in \mathcal{L}_M. obs(traj) = obs(traj^*) \wedge \delta(traj) = F\}$$

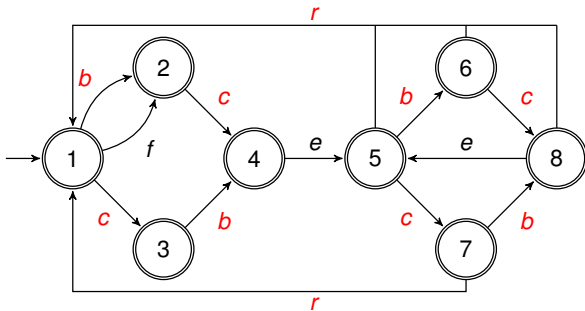


- $\text{traj}^* = bcebc$
- Observation: $bc b c$
- $\delta(\text{traj}^*) = \emptyset$

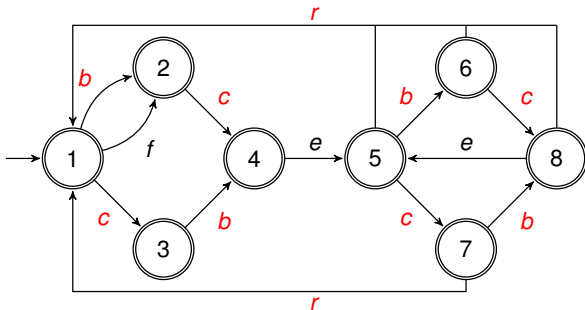


- $\text{traj}^* = bcebc$
- Observation: $bc bc$
- $\delta(\text{traj}^*) = \emptyset$

- Nominal: $\text{traj}_N = bcebc$
- Faulty: no faulty $\text{traj}_F!$
- $\Delta = \{\emptyset\}$

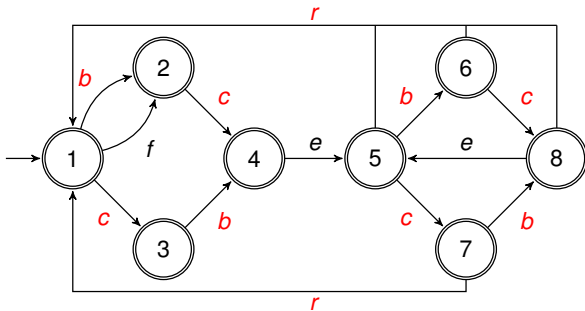


- $\text{traj}^* = \text{fcebcb}$
- Observation: cbc
- $\delta(\text{traj}^*) = \{F\}$

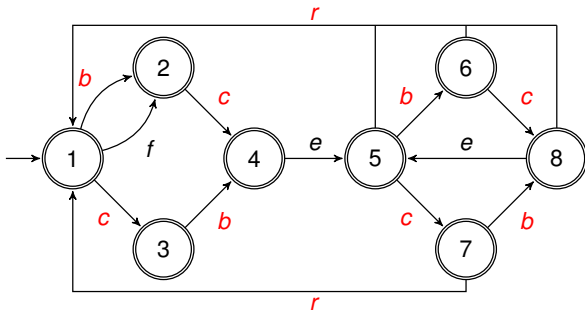


- $\text{traj}^* = \text{fcebcb}$
- Observation: cbcb
- $\delta(\text{traj}^*) = \{F\}$

- Nominal: $\text{traj}_N = \text{cbec}$
- Faulty: $\text{traj}_F = \text{fcebcb}$
- $\Delta = \{\emptyset, \{F\}\}$



- $\text{traj}^* = \text{fcec}b$
- Observation: ccb
- $\delta(\text{traj}^*) = \{F\}$



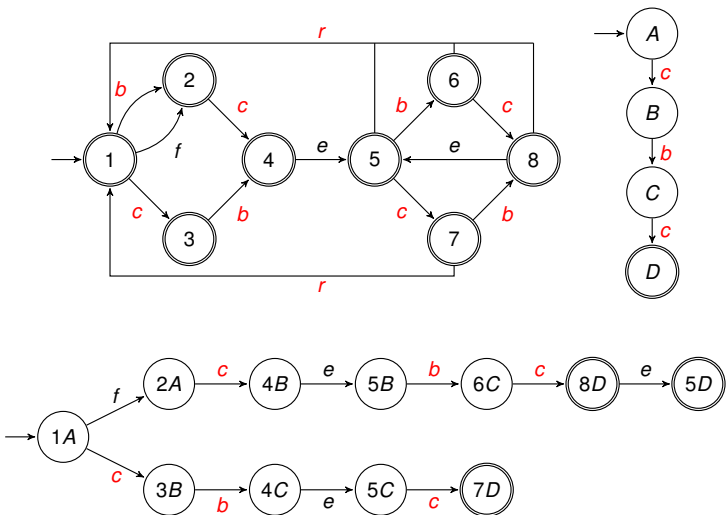
- $\text{traj}^* = fcecb$
- Observation: ccb
- $\delta(\text{traj}^*) = \{F\}$

- Nominal: no nominal traj_N
- Faulty: $\text{traj}_F = fcecb$
- $\Delta = \{\{F\}\}$

- Represent the observation as an automaton
- Synchronise the model and the observation
- Check whether one/all trajectories contains a faulty event

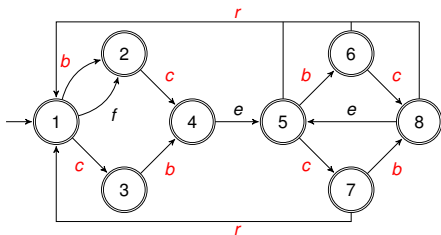
How to Compute the Diagnosis

Illustration



Symbolic Representation of States

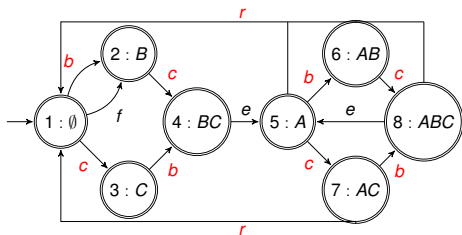
State Variables



Define a set V of Boolean properties (state variables) such that each state of the DES is associated with a different set of properties.

Symbolic Representation of States

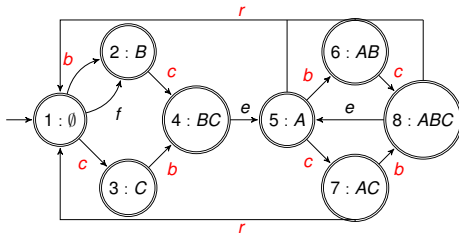
State Variables



Define a set V of Boolean properties (state variables) such that each state of the DES is associated with a different set of properties.

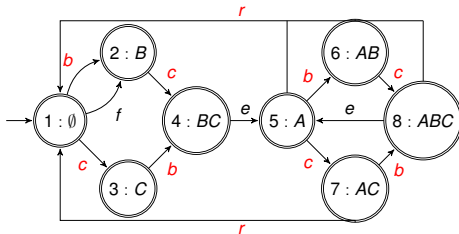
Here: $V = \{A, B, C\}$

	1	2	3	4	5	6	7	8
A					Y	Y	Y	Y
B		Y		Y		Y		Y
C			Y	Y			Y	Y



A state is represented by a propositional formula:

- $\Phi_1 = \neg A \wedge \neg B \wedge \neg C$
- $\Phi_2 = \neg A \wedge B \wedge \neg C$
- $\Phi_3 = \neg A \wedge \neg B \wedge C$
- $\Phi_4 = \neg A \wedge B \wedge C$
- $\Phi_5 = A \wedge \neg B \wedge \neg C$
- $\Phi_6 = A \wedge B \wedge \neg C$
- $\Phi_7 = A \wedge \neg B \wedge C$
- $\Phi_8 = A \wedge B \wedge C$



A set of states is represented by the disjunction of the representations of states.

E.g.:

- $\Phi_{\{1\}} = \Phi_1 = \neg A \wedge \neg B \wedge \neg C$
- $\Phi_{\{1,3\}} = \Phi_1 \vee \Phi_3 = (\neg A \wedge \neg B \wedge \neg C) \vee (\neg A \wedge \neg B \wedge C) = \neg A \wedge \neg B$
- $\Phi_{\{1,3,5,7\}} = \Phi_1 \vee \Phi_3 \vee \Phi_5 \vee \Phi_7 = \neg B$

① Union $S_1 \cup S_2$

Operations on Sets (of States)

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$$\Phi_{S_1} \vee \Phi_{S_2}$$

② Intersection $S_1 \cap S_2$

Operations on Sets (of States)

1 Union $S_1 \cup S_2$

$$\Phi_{S_1} \vee \Phi_{S_2}$$

2 Intersection $S_1 \cap S_2$

$$\Phi_{S_1} \wedge \Phi_{S_2}$$

3 (Relative) Complement $Q \setminus S$

Operations on Sets (of States)

- | | |
|---|--------------------------------|
| 1 Union $S_1 \cup S_2$ | $\Phi_{S_1} \vee \Phi_{S_2}$ |
| 2 Intersection $S_1 \cap S_2$ | $\Phi_{S_1} \wedge \Phi_{S_2}$ |
| 3 (Relative) Complement $Q \setminus S$ | $\Phi_Q \wedge \neg \Phi_S$ |
| 4 Emptiness $S \stackrel{?}{=} \emptyset$ | |

- | | |
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| 1 Union $S_1 \cup S_2$ | $\Phi_{S_1} \vee \Phi_{S_2}$ |
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| 3 (Relative) Complement $Q \setminus S$ | $\Phi_Q \wedge \neg \Phi_S$ |
| 4 Emptiness $S \stackrel{?}{=} \emptyset$ | $\Phi_S \stackrel{?}{=} \perp$ |
| 5 Inclusion $S_1 \stackrel{?}{\subseteq} S_2$ | |

Operations on Sets (of States)

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$$\Phi_S \stackrel{?}{=} \perp$$

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$$\Phi_{S_1} \wedge \neg \Phi_{S_2} \stackrel{?}{=} \perp$$

6 Overlap $S_1 \cap S_2 \stackrel{?}{=} \emptyset$

Operations on Sets (of States)

① Union $S_1 \cup S_2$

$$\Phi_{S_1} \vee \Phi_{S_2}$$

② Intersection $S_1 \cap S_2$

$$\Phi_{S_1} \wedge \Phi_{S_2}$$

③ (Relative) Complement $Q \setminus S$

$$\Phi_Q \wedge \neg \Phi_S$$

④ Emptiness $S \stackrel{?}{=} \emptyset$

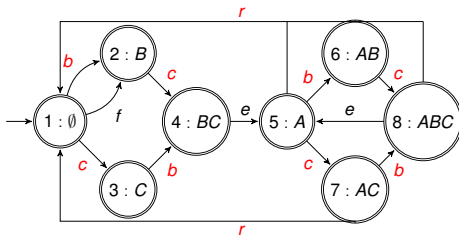
$$\Phi_S \stackrel{?}{=} \perp$$

⑤ Inclusion $S_1 \stackrel{?}{\subseteq} S_2$

$$\Phi_{S_1} \wedge \neg \Phi_{S_2} \stackrel{?}{=} \perp$$

⑥ Overlap $S_1 \cap S_2 \stackrel{?}{=} \emptyset$

$$\Phi_{S_1} \wedge \Phi_{S_2} \stackrel{?}{=} \perp$$



- Create “next” (primed) properties: $V' = \{A', B', C'\}$
- Create event variables: $V_\Sigma = \{b, c, r, e\}$

Transition $\langle 1, b, 2 \rangle$:

- $(\neg A \wedge \neg B \wedge \neg C) \wedge (b \wedge \neg c \wedge \neg r \wedge \neg e) \wedge (\neg A' \wedge B' \wedge \neg C')$

All transitions of event b :

- $(A \leftrightarrow A') \wedge (\neg B \wedge B') \wedge (C \leftrightarrow C') \wedge (b \wedge \neg c \wedge \neg r \wedge \neg e)$

Set of transitions labeled by event e

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$$\Phi_T \wedge e$$

Set of transitions labeled by an event $e \in \Sigma'$

Set of transitions labeled by event e

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Set of transitions labeled by an event $e \in \Sigma'$

$$\Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right)$$

Subset of transitions from τ originating from a state of S

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Set of transitions labeled by an event $e \in \Sigma'$

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Subset of transitions from τ originating from a state of S

$$\Phi_\tau \wedge \Phi_S$$

Set of targets of a set τ of transitions

Set of transitions labeled by event e

$$\Phi_T \wedge e$$

Set of transitions labeled by an event $e \in \Sigma'$

$$\Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right)$$

Subset of transitions from τ originating from a state of S

$$\Phi_\tau \wedge \Phi_S$$

Set of targets of a set τ of transitions

$$(\exists V. \exists V_\Sigma. \Phi_\tau) [V' / V]$$

- 1 The set of states reached from S through a single transition labeled by an event of Σ'

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$$\left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \Phi_S \right) [V'/V]$$

- 2 The set of states reached from S through exactly two transitions labeled by events of Σ'

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$$\left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \Phi_S \right) [V'/V] \right)$$

- 1 The set of states reached from S through a single transition labeled by an event of Σ'

$$\left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \Phi_S \right) [V'/V]$$

- 3 The set of states reached from S through zero or one transition labeled by an event of Σ'

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- 3 The set of states reached from S through zero or one transition labeled by an event of Σ'

$$\Phi_S \vee \left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \Phi_S \right) [V'/V]$$

The set of states reached from S through any number of transitions labeled by events of Σ'

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$$\mu Z. \Phi_S \vee \left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge Z \right) [V'/V]$$

The set of states reached from S through any number of transitions labeled by events of Σ'

$$\mu Z. \Phi_S \vee \left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge Z \right) [V'/V]$$

Implementation

$\Phi := \Phi_S$

$\Phi' := \Phi$

repeat

$\Phi := \Phi'$

$\Phi' := \Phi \vee \left(\exists V. \exists V_{\Sigma}. \Phi_T \wedge \left(\bigvee_{e \in \Sigma'} e \right) \wedge \Phi \right) [V'/V]$

until $\Phi = \Phi'$

Create 2^n copies of the model, where n is the number of faults

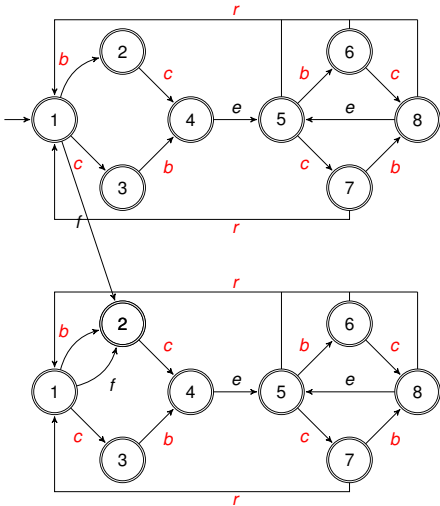
Let $Q_{obs(traj^*)}$ be the set of states q such that $I \xrightarrow{obs(traj^*)} q$, then

$$F \in \Delta(obs(traj^*)) \Leftrightarrow Q_F \cap Q_{obs(traj^*)} \neq \emptyset$$

Diagnosis as a State Estimation Problem



Example



- Initial set S_0^+ of states:
 - $\{I\}$
- Set S_0^- of states before first observation:
 - Set of states reached from S_0^+ through unobservable transitions
- Set S_1^+ of states after first observation:
 - Set of states reached from S_0^- through a transition labeled by o_1
- ...
- Set S_i^+ of states after i th observation:
 - Set of states reached from S_{i-1}^- through a transition labeled by o_i
- Set S_i^- of states before $i + 1$ th observation:
 - Set of states reached from S_i^+ through unobservable transitions

Is the fault set F part of the diagnosis?

$S := \{I\}$

for all Observation fragment o_i from $obs(traj^*)$ **do**

$S := \{q' \in Q \mid \exists w \in (\Sigma \setminus \Sigma_o) \star. \exists q \in S. q \xrightarrow{w} q'\}$

$S := \{q' \in Q \mid \exists q \in S. q \xrightarrow{o} q'\}.$

end for

return $S \cap Q_F \stackrel{?}{\neq} \emptyset$

Is the fault set F part of the diagnosis?

$\Phi := \Phi_I$

for all Observation fragment o_i from $obs(traj^*)$ **do**

$\Phi := \mu Z. \Phi \vee \left(\exists V. \exists V_\Sigma. \Phi_T \wedge \left(\bigvee_{e \in \Sigma \setminus \Sigma_o} e \right) \wedge Z \right) [V'/V]$

$\Phi := (\exists V. \exists V_\Sigma. \Phi_T \wedge o \wedge \Phi) [V'/V]$

end for

return $\Phi \wedge \Phi_F \stackrel{?}{\neq} \perp$